834

834 Benefit Enrollment and Maintenance

This Companion Guide consolidates information from both the 834 User Guide and 834 Companion Document into a single document.

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A: 834 User Guide

I. Getting Started

Anthem is a strong proponent of EDI transactions as they significantly increase administrative savings, reduce operating costs, and gain efficiency in processing time and improve data quality. In order to begin exchanging transactions with Anthem, trading partners must manage their own unique set of requirements, operational needs, and systems capabilities.

References: from www.anthem.com/edi, select state, review Companion Guide

• Section A - EDI User Guide: **834 User Guide**

• Section A – Communication Protocol: [for HTTPS only] **EDI HTTPS File Upload**

• Section B - Transaction Specific Companion Documents: **834 Benefit Enrollment and Maintenance (includes 834 User Guide)**

Hours of Gateway Operation: Under normal operating conditions, files are processed 24/7

II. Implementation

As a trading partner of Anthem, it is necessary to take the following 5 steps into consideration:

1. Read, become familiar with, sign and submit the Trading Partner Agreement (TPA)
   - The HIPAA administrative simplification regulations include requirements in these legal contracts between covered entities and their business associates. They define the terms and conditions of HIPAA compliance under which trading partners are permitted to exchange transactions with Anthem.

2. Decide upon one of the two methods for generating and submitting your HIPAA compliant data files:
   - Method 1: For the direct submission using a vendor or self-developed software product approach, the trading partner is the employer group or agent. The employer group's internal programming staff or systems vendor modifies the computer system to meet the format and quality requirements of the ASC X12N HIPAA Technical Report Type 3 (TR3) and Anthem. It is the responsibility of the trading partner to operate and/or configure the computer, modem, communications software, internet, all telecommunications and all transactions submitted to Anthem.
   - Method 2: For the submission by a third party clearinghouse approach, the clearinghouse is the trading partner. Services are paid by the employer group for the EDI preparation, submission, and/ or management. The business relationship between the trading partner and employer group is held strictly between the two parties. Typically, the clearinghouse will help employer groups configure the necessary computer equipment or software. The clearinghouse must undergo testing, approval, and production procedures before submitting EDI transactions to Anthem.

3. Forward the 834 Companion Guide to your internal programming staff, systems vendor or third party clearinghouse.
   • Select your data transmission method: SFTP, SFTP w/PGP or HTTPS File Upload.
   • Consult with your technical staff to assess your existing hardware.

4. Understand the process that occurs when submitting files and receiving acknowledgments/reports (See Part B: Section 5 - Acknowledgments and Reports for examples and details).

5. As confirmation for the completion of your set up, receive the following:
   • [for SFTP, SFTP w/PGP] secure email from our Gateway administrators (EGW_Support): includes your trading partner sender ID (xx12345e), Gateway login ID and password with SFTP External Client Instructions
   • "Welcome letter" from your assigned E-Solutions representative: includes details to assist you through the EDI transaction submission process

III. Testing Process

All trading partners are required to successfully complete the testing process. As part of the testing preparation, Anthem offers a free on-line testing tool for the validation of HIPAA compliance standards.

Testing Preparation
• Use filenames without space(s) or special characters !@#$%^&*()+= in the filename.
• Submit a test file containing the same type of scenarios you may have previously submitted electronically in the non-HIPAA compliant format or via paper for purposes of comparison.
• Populate "T" in the Interchange Control Header (ISA15 Usage Indicator) to indicate file as TEST.
• Include approximately 25-100 test scenarios per transaction per interchange.
• Transmit a representative sampling of the scenarios that you normally submit.
• Prepare to receive, retrieve and review acknowledgments/reports in response to your file submissions.

Approval for Production Status
You will be allowed to submit files in production based on the following criteria:
• Met telecommunications connectivity and logon/security parameters.
• Exercised report retrieval and interpretation.
• Pass 100% syntactical compliance - editing includes ISA Interchange identification (correct length of ISA, legal separators/terminators, valid receiver/sender IDs), GS/GE Functional Group Identification (control numbers, version, envelope counts), and ST/SE Transaction Set Identification (valid data types, separators/terminators, transaction and segment IDs, envelope totals).
• Pass 95% data content compliance - editing includes variables based on TR3, code sets, looping structures, situational edits, TR3 balancing rules, other TR3 specific edits and requirements, member ID, business rules, and trading partner specific edits

Submitting Production Files
• Populate "P" in the Interchange Control Header (ISA15 Usage Indicator) to indicate file as PRODUCTION. Discontinue populating "T" in the ISA15 (Usage Indicator).

IMPORTANT! ***Once in production, you assume responsibility of all acknowledgment and response reports (TA1, 999, and 864) as they will no longer be monitored by E-Solutions***.

B: 834

834 Benefit Enrollment and Maintenance

This companion document is for informational purposes only to describe certain aspects and expectations regarding the transaction and is not a complete guide. The details contained in this document are supplemental and should be used in conjunction with the ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 (TR3) as published by the Washington Publishing Company.

Section 1 – 834 Benefit Enrollment and Maintenance: Basic Instructions

Section 2 – 834 Benefit Enrollment and Maintenance: Business Case Scenarios

Section 3 – 834 Benefit Enrollment and Maintenance: Enveloping

Section 4 – 834 Benefit Enrollment and Maintenance: Charts for Situational Rules

Section 5 – 834 Benefit Enrollment and Maintenance: Reports and Acknowledgments

Section 1 - Basic Instructions

1   X12 and HIPAA Compliance Checking, and Business Edits

EDI interchanges submitted to Anthem for processing pass through compliance edits. 5010 acknowledgments and reports for accepted/rejected files will be placed in the submitter's trading partner mailbox for pickup.

- TA1 Interchange Acknowledgment (IA). Anthem returns TA1 X12 and proprietary reports to the submitter of inbound 834 files containing envelope errors in the ISA and GS segments.
- Level 1. Anthem returns a 999 IA to the submitter for every inbound transaction received. Each transaction passes through edits to ensure that it is X12 compliant. If the X12 syntax or any other aspect of the 834 is not X12 compliant, the 999 will also report the Level 1 errors in AK segments and indicate that the entire transaction set has been rejected.
- Level 2. In addition to HIPAA TR3 edits, Anthem applies business edits to ensure that the necessary information is populated and complete for efficient processing. When encountering HIPAA compliance, code set or business errors, Anthem returns an 864 Level 2 Status Report to the submitter indicating the entire transaction set has been rejected.

2   Uppercase Letters, Special Characters, and Delimiters

As specified in the TR3, the basic character set includes uppercase letters, digits, space, and other special characters.

- All HIPAA deemed values (segments, qualifiers) must be submitted in UPPERCASE letters only.

- To avoid syntax errors, hyphens, parentheses and spaces are not recommended to be used in values for identifiers.

- Anthem encourages trading partners to not use the following special characters as part of the value: asterisk (*), less than/greater than signs (<, >), colon (:), and slash (/). This minimizes the risk for a special character to be recognized as a delimiter.

  Example: Phone Number '800-123-5010'. Although a hyphen (-) is a valid special character, it adversely affects the processing since the membership system is unable to process correctly.

- Delimiters are an integral part of the data that is transmitted between you and Anthem. They are characters used to separate two data elements (or sub-elements) or to terminate a segment (refer to TR3, Appendix B). The following delimiters for the transaction are assigned as part of the trading partner set up.
  - Data Element Separator, Asterisk (*)
  - Repetition Separator (ISA11), Caret (^)
  - Sub-Element Separator, Colon (:)
  - Segment Terminator, Tilde (~)

3   Full 834 Transactions

Anthem prefers to receive full files with terminations. However, we recognize that some systems are unable to accommodate terminations on a full file when BGN08 is RX. In this situation, we can accept a BGN08 = 2, but it is not our standard. Notify us about full file with terminations with a BGN08 of 2.

- When the BGN08 is 2, INS03 and HD01 must equal 001, 002, 021, 024, or 025.
- For active members, when BGN08 is RX, INS03 and HD01 must equal 030.
- For terminations, when BGN08 is RX, INS03 = 030 and HD01 = 024 always.

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4   Subscriber or Employee Level Eligibility

For Subscriber level eligibility, send the eligibility dates in the following manner:

- To send an active record, Set INS01 to 'Y' and HD01 should equal '001', '021', '025', (BGN08 is 2) or '030' (for full files) (BGN08 is RX) and the date type sent in Loop 2300 DTP01 = 348.

The Employment Status Code is required in the INS08 for subscriber records.

- To send a subscriber level termination, Set INS01 to 'Y' and HD01 should equal '002' or '024' (BGN08 is 2) or '024' (for full files) (BGN08 is RX) and Loop 2300 DTP01 = 349.
- To send Cobra Begin, Set INS01 to 'Y' and INS05 = 'C'. In Loop 2300 DTP01 = '348' or '349'. If INS05= 'C', then the appropriate values must be set in INS07 according to the 834 TR3.

Change(s) in eligibility that affect the entire family requires eligibility dates to change at the subscriber level. The following situations apply.

- Health plan change
- Coverage level (family indicator) change (i.e. family to subscriber + spouse)
- Change in subgroup (Loop 2000 REF*DX)
- Full termination - send last date for coverage (i.e. ensure no coverage on 1/1 by sending term date '349' of 12/31)

If any change occurs to a family member, even if it only affects one dependent, we need the entire family's information. The subscriber must be the first record followed by information for each dependent in the family.

- To add a subscriber, set INS01 = 'Y' (subscriber), INS03 = '021' (add) or INS03 = '030' (active)
- To update the subscriber, set INS01 = 'Y', INS03 = '001' (change), '024' (terminate or delete), '025' (reinstate), or '030' (audit active)

4.1   Subscriber Information

Subscriber ID - Loop 2000 REF01='0F', REF02. (REF*0F*123456789; sub ID is 123456789

Subscriber's Name - Loop 2100A NM1 segment when INS01=Y and NM101=IL.

NM103 = Subscriber Last Name	**NM104** = Subscriber First Name	**NM105** = Subscriber Middle Initial

Subscriber's address - Loop 2100A N3 and N4 segments when INS01='Y' and NM101='IL'.

N301 = Address Line 1	**N402** = State
N302 = Address Line 2	**N403** = Zip Code
N401 = City	**N406** = County

Subscriber's phone number -

- If Loop 2100A PER01 = 'IP' (Insured Party) and PER03 = 'TE' (Telephone) or 'HP' (Home Phone Number), move up to 20 characters of PER04
- If Loop 2100A PER01 = 'IP' (insured Party) and PER03 = 'TE' (Telephone) or 'HP' (Home Phone Number), move up to 20 characters of PER06
- If Loop 2100A PER01 = 'IP' (Insured Party) and PER03 = 'TE' (Telephone) or 'HP' (Home Phone Number), move up to 20 characters of PER08

4.2   Original Effective Date '356', Current Effective Date '348', Termination Date '349'

The Subscriber's original effective date is sent when INS01 = 'Y' and Loop 2000 DTP01 = '356'. Ensure the original effective date is '356' and not '348' (current effective date).

Retro logic is in place to read the current effective date for active transactions. We can only go back 45 days prior to the day we run the file. The 348 date only updates automatically if within 45 days of the day we process the file.

The 349 termination date can only go back 60 days. An original effective date populated in the 348 date would cause the membership to error out. The original effective date should be populated in the 356 field only.

5   Member or Dependent Level Eligibility

For Member level eligibility, send the eligibility dates in the following manner:
- To send an active record, HD01 should equal '001', '021', '025' (BGN08 is 2) or '030' (for full files) (BGN08 is RX) and the date type sent in Loop 2300 DTP01 = '348'
- To send a member level termination, HD01 should equal '002' or '024' (BGN08 is 2) or '024' (BGN08 is RX) and Loop 2300 DTP01 = '349'
- To send Cobra Begin, set INS05 = 'C' and Loop 2300 DTP01 = '348' or '349'

5.1   Member Information

Member ID - Loop 2000 REF01='F6', REF02 (Ex.REF*0F*999999999; member HIC # is 999999999)

*The Social Security Number (SSN) is required for each subscriber, spouse and adult dependent.

5.2   Member Relationship to Subscriber (INS02)

Anthem needs to receive the member's relationship to the subscriber in the INS segment. Anthem recognizes the following codes:
- INS02 = '18' (self): Subscriber
- INS02 = '09' (adopted child), '10' (foster child), '17' (stepson/stepdaughter), or '19' (child) and DMG03 = 'M': Son
- INS02 = '09' (adopted child), '10' (foster child), '17' (stepson/stepdaughter), or '19' (child) and DMG03 = 'F'; Daughter
- INS02 = '01' (spouse) or '25' (ex-spouse) and DMG03 = 'M : Husband
- INS02 = '01' (spouse) or '25' (ex-spouse) and DMG03 = 'F': Wife
- INS02 = '53' (Life Partner)

5.3   Member Demographics (DMG)

DMG02 = Member's Birth Date
DMG03 = Member's gender ('M' = Male, 'F' = Female)
DMG04 = Member's Marital Status ('M' = Married, 'D' = Divorced, 'I' = Single, 'W' = Widowed)

6   Coding – Loop 2000

6.1   Policy / 834 Agreement for Spouse/Dependent Coverage

- If the policy does not include a spouse and/or dependent(s), then the transmission must only include a record for the employee. The transaction must have Loop 2000 INS01 = "Y" to indicate the Employee, and **must not** contain records for a spouse or dependents.
- If the policy does include a spouse and/or dependent(s), then the transmission **must** include an INS loop for the employee, spouse and each dependent. In the 834 for the employee, Loop 2000 INS01 = "Y" to indicate the Employee. In the 834 for the spouse and/or dependent(s), Loop 2000 INS01 = "N" to indicate Dependent. NOTE: *Subscriber record must be the first record sent for the family.*

6.2   Accurate Group and Subgroup Numbers

Anthem assigns policy numbers to employer groups. Use these values on membership files.

- Group Number - **8** digit code (always) populated in Loop 2000 REF*IL **or** Loop 2300 REF*IL. ***Group number cannot be in both Loops 2000 and 2300.***
- Subgroup Number - **4** digit code (always) populated in Loop 2000 REF*DX.

6.3   Hire Date, Social Security Number (SSN), Students, Federal Tax Exemptions, Overage and Handicapped Dependents

- We need the hire date whenever possible ('336'). Although files will process without the hire date, it is required from an enrollment perspective to accurately calculate the pre-existing period. If the hire date is not sent, a letter will be sent to the client requesting this information.
- The SSN is required for each subscriber, spouse and adult dependent. Anthem prefers to have the SSN for all dependents.
- To signify that the member being passed is a student INS09 should equal "F" or "P".
- To signify that the member being passed is handicap, INS10 should equal "Y".

6.4   Social Security Number (SSN)

Unless requested, do not send the social security number referenced in following segments of the TR3 – Loops 2000 REF Subscriber Identifier; 2100A NM108 Member Name; 2100B NM108 Incorrect Member Name; 2100D NM108 Member Employer; 2100F NM108 Custodial Parent, 2100G NM108 Responsible Person; 2310 NM108 Provider Name; and 2320 REF01 Additional Coordination of Benefits Identifiers.

7 Coding - Loop 2300

7.1 General Note

CORRECT	INCORRECT
Do send only one transmission with the new information.	Do not send a transmission with 2 HD loops for the same member, in same group for same product type — one to cancel the existing policy and one to add the new one.
HD*021**HLT*Health 1*ESP DTP*348*20100801	HD*024**HLT*Health 1*ESP DTP*348*20100101 DTP*349*20100731 DTP*348*20100801
EXCEPTION. If the group number does change, send 2 separate records for the family. One record will terminate coverage in the old group, and 1 record will begin coverage in the new group. The file treats the term and the add as 2 separate families. It is fine to send multiple HD loops, one for each product. HD*021**HLT*Health 1*ESP DTP*348*20100801 HD*021**DEN*Dental 1*ESP DTP*348*20100801 HD*021**VIS*Vision 1*ESP DTP*348*20100801	

7.2 Always Enter a Health Coverage Date

Loop 2300 DTP segment is reserved for a Health Coverage Date - begin and end.
- As our standard, we will not recognize DTP01=303. Use DTP01=348 for coverage begin and DTP01=349 for coverage end (termination) dates. If you will use DTP01=303 for communicating coverage begin dates, let us know so we can accommodate.
- For initial production files, please send a current effective date near the file implementation date. For example, if a coverage began 01/01/2010, but the file implementation date is projected to be 08/01/2010, send 08/01/2010 in DTP*348 for anyone active on or before 08/01/2010.
- Retro logic is in place that will cause transactions to suspend for manual review if the effective date is more than 45 days prior to the day the file processes (60 days for terminations). Sending 01/01/2010 in this situation will cause unnecessary suspensions and needless review. For dates after the file implementation date, send the actual date of the change.

7.3 New Policy Effective Date to Change

For any change, enter a new Policy Effective Date in 2300 DTP03 (with DTP01=348). Whenever there is any change in Loop 2300 for any family member, please send the effective date of the change as the DTP*348 date for all family members.

7.4   Plan Coverage Description (Plan ID)

Loop 2300 HD04 is reserved for Coverage Description

- The HD04 is always an 8 digit code. Copy the Plan ID from the Plan IDs that were submitted to you, or ask your Anthem Business Analyst.

- To enroll the subscribers/dependents into the correct health plan(s), the HD segment must contain the correct data that is recognized by Anthem.

- To recognize the type of coverage (i.e. medical, vision, dental, etc), HD03 needs to be set accordingly:
  - HD03 = 'VIS' : Vision
  - HD03 = 'HLT' : Medical
  - HD03 = 'DEN' : Dental
  - HD03 = 'PDG' : Prescription Drug
  - HD04 in the HD loop should contain the Health Plan information for that subscriber/ member. (Health plan names will be provided by Anthem.)
  - The HD segment should look similar to this: HD*021**HLT*Health 1*ESP (where HD05 is ONLY sent for the subscriber)

Please note that **single digit** health plans such as Health 1 should be formatted as: Health 1 (with a space in between the word Health and the number 1).

Double digit health plans such as Health 10 should be formatted as: Health10 (with **NO** space in between the word Health and the number 10.

7.5   Coverage Level (Type of Membership)

Loop 2300 HD05 is reserved for Coverage Level (family indicator) Code

- The HD05 is required for employee/subscriber records. It MUST NOT be sent on dependent records.

Note: The only HD05 value that will provide coverage to an employee and a spouse is ESP. Our system is mapped to make E1D, E2D, E3D, E5D, E6D, E7D, E8D and E9D allow only coverage for the employee and any number of non-spouse dependents.

A sample HD line will look like one of these:

HD*021**HLT*Health 1*ESP
HD*021**DEN*Dental 1*ESP
HD*021**VIS*Vision 1*ESP

Valid coverage level (family indicator) values:

EMP or IND = Subscriber only
ESP = Employee/Spouse
ECH or E1D = Employee/Dependents
FAM = Family (employee, spouse, and dependent child/children)

7.6   ID Card Requests

Anthem will ignore the IDC segment if it is sent. Our internal process will determine when a member needs an ID card. If a member has lost his/her card or needs another ID card, requests can be made by calling customer service or access Anthem's website.

ID cards will automatically generate in the following situations:
- Initial enrollment of a subscriber
- Group ID changes (REF*1L)
- Plan ID changes (HD04)
- First name, last name or middle initial of any family member changes
- Dependent is added
- New subscriber is added
- Subscriber re-enrolls with a lapse in coverage of more than 1 day
- Subscriber is set up and cancelled the same day, giving them one day of coverage

7.7 Future Cancellations

When sending future termination records, the file may continue to send the member records up to the date of cancellation. Please drop them after that.

7.8 Cancellation by Omission

Anthem does not terminate policies if the policy is missing from the file. A cancel record with the cancellation/termination date **must** be communicated on the EDI file.

7.9 Reinstatements

There should be no break in coverage for the member if coverage information did not change.

7.10 Identify PCP When Adding Members to a POS or HMO Policy

PCP ID must be supplied for any new member whose health plan requires a PCP. The PCP ID should be sent in Loop 2310 NM109. If Loop 2300 PLA segment is present, PLA03 = PCP effective date. If PLA03 is not populated, the member's ID card will indicate that no PCP was selected.

Members may contact customer service at the phone number on the back of the ID card to select a PCP. Since PCP changes to existing members cannot be done through a file, the subscriber should contact customer service.

7.11 Coordination of Benefits (COB) Information

In order to send COB data for a member, the HD01 must be set to '021' (for inserts) or '001', '024', '025' (for updates) or '030' (for full).
- The effective date for COB would be sent in the DTP loop. When DTP01 = '344', the DTP03 will be used as the effective date.
- The Insurance Order is sent in the Loop 2320 COB01 when this field equals 'P', 'S' or 'U'.
- The Policy ID should be sent in COB02. Only the first 10 characters will be used.

The Entity ID (provided by Anthem) needs to be sent in Loop 2330 NM101=IN, NM103 or NM109.

8 Processing Times and Holiday Schedule

You may submit transactions to the Enterprise EDI Gateway twenty-four hours a day, seven days a week.

If a holiday schedule necessitates a delivery time change, we send an Alert Message.

Section 2 – Business Case Scenarios

Common business case scenarios have been identified in the following table:

Business Case Scenarios	
Loop	**Data Element [Value]**
834 Translation: SEGMENT, Data Element Separator (*), Value/[Value], Segment Terminator (~)	
New Enrollments (Subscriber and Dependent records)	
2000	INS01[Y] + INS02[18] + INS03[021] + INS04[28]
	INS*Y*18*021*28~
Add Dependents	
2000	INS01[N] + INS02[relationship code] + INS03[021] + INS04[no 28]
	INS*N*[relationship code]*021~
Terminate Contract	
2000	INS01[Y] + INS02[18] + INS03[024]
	INS*Y*18*024~
Terminate Dependents	
2000	INS01[N] + INS02[relationship code] + INS03[024]
	INS*N*[relationship code]*024~
Address Change	
2000	INS01[Y] + INS02[18] + INS03[001] + INS04[43]
2100A	N301 + N401 + N402 + N403 *NEW ADDRESS*
	INS*Y*18*001*43~ **N3*[street name]*[city]*[state]*[zip]~**
Name Change	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[25]
2100A	NM101[74] + NM102[1] + NM103 + NM104 + NM105 *NEW NAME*
2100B	NM101[70] + NM102[1] + NM103 + NM104 + NM105 *OLD NAME*
	INS*Y*[relationship code]*001*25~ **NM1*74*1*[last name/org]*[first name]*[middle name]~** **NM1*70*1*[last name/org]*[first name]*[middle name]~**
Primary Care Physician (PCP) Change	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[15]
2310	LX01
2310	PLA01[2] + PLA02[1P] + PLA03 + PLA05
	INS*Y*[relationship code]*001*15~ **LX*[number]** **PLA*2*1P*[date CCYYMMDD]**[reason code]~** *NOTE: According to the 834 TR3, data element PLA04 is not used. Account for PLA04 by using the asterisk (*) as the data element separator.*

Business Case Scenarios

Loop	Data Element [Value]
	834 Translation: SEGMENT, Data Element Separator (*), Value/[Value], Segment Terminator (~)
Date of Birth (DOB) or Gender Change	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[25]
2100A	NM101[IL] + NM102[1] + NM103 + NM104 + NM105 *MEMBER NAME*
2100A	DMG01[D8] + DMG02 + DMG03 *NEW DOB & GENDER*
2100B	NM101[70] + NM102[1] + NM103 + NM104 + NM105 *MEMBER NAME*
2100B	DMG01[D8] + DMG02 + DMG03 *OLD DOB & GENDER*
	INS*Y*[relationship code]*001*25~
	NM1*IL*1*[last name/org]*[first name]*[middle name]~
	DMG*D8*[date of birth CCYYMMDD]*[M,F OR U]~
	NM1*70*1*[last name/org]*[first name]*[middle name]~
	DMG*D8*[date of birth CCYYMMDD]*[M,F OR U]~
Handicap Indicator Change	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[21] + INS10
	INS*Y*[relationship code]*001*21****[handicap status]~**
Student Indicator Change	
2000	INS01[N] + INS02[child dependent code] + INS03[001] + INS04[33] + INS09
	INS*N*[child dependent code]*001*33***[student status]~**
Disability Indicator Change	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[21]
2200	DSB01
2000	DTP01[360 OR 361] + DTP02[D8] + DTP03
	INS*Y*[relationship code]*001*21~
	DSB*[1, 2, 3 OR 4]~
	DTP*[360 OR 361]*D8*[disability eligibility date CCYYMMDD]~
Firm Division / Product Change	
2000	INS01[Y] + INS02[18] + INS03[001] + INS04[22 OR XT]
2300	REF01[1L] + REF02 *NEW FIRM DIVISION*
2300	HD01[001] + HD04 *NEW HEALTH BENEFIT PLAN (HBP)*
	INS*Y*18*001*[22 OR XT]~
	REF*1L*[group policy]~
	HD*001*[plan coverage description]~**
Reinstatement	
2000	INS01[Y] + INS02[18] + INS03[025]
	INS*Y*18*025~
Add / Terminate Product	
2000	INS01[Y] + INS02[relationship code] + INS03[001] + INS04[29]
2300	HD01[021] *ADD PRODUCT*
2300	HD01[023] *TERMINATE PRODUCT*
	INS*Y*[relationship code]*001*29~
	HD*021~
	HD*023~

Additional examples in 834 file format shown below and on following pages:

Example 1. Enrolling a subscriber effective 10/01/2010.

```
INS*Y*18*001**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20101001~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*001**HLT*HEALTH 1*IND~
DTP*348*D8*20101001~
```

Example 2. Enrolling a family effective 10/01/2010.

```
INS*Y*18*001**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20100601~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*001**HLT*HEALTH 1*FAM~
DTP*348*D8*20101001~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20100601~
NM1*IL*1*TestName*Dependent1****34*999999999~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19820303*F~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20101001~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20100601~
NM1*IL*1*TestName*Dependent2****34*888888888~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19920620*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20101001~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20100601~
NM1*IL*1*TestName*Dependent3****34*777777777~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19930913*F~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20101001~
INS*N*01*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20100601~
NM1*IL*1*TestName*Husband****34*666666666~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19550527*M*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20101001~
```

Example 3. Term a spouse effective 12/31/2010, change status from family to subscriber and dependents

```
INS*Y*18*001**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050601~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*001**HLT*HEALTH 1*ECH~
DTP*348*D8*20060101~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050601~
NM1*IL*1*TestName*Dependent1****34*999999999~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19820303*F~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20060101~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050601~
NM1*IL*1*TestName*Dependent2****34*888888888~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19920620*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20060101~
INS*N*01*024**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050601~
NM1*IL*1*TestName*Husband****34*666666666~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19550527*M*M~
HD*024**HLT*HEALTH 1~
DTP*348*D8*20051001~
DTP*349*D8*20101231
```

Example 4. Add dependent to a subscriber and spouse contract effective 06/25/2006. Previously, subscriber and spouse were effective 01/01/2006.

```
INS*Y*18*001**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*001**HLT*HEALTH 1*FAM~
DTP*348*D8*20060625~
INS*N*01*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
NM1*IL*1*TestName*Husband****34*666666666~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19550527*M*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20060601~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20060625~
NM1*IL*1*TestName*Dependent1****34*999999999~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*20060625*F~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20060625~
```

Example 5. Term family effective 12/31/2006. Family has been effective since 01/01/2006.

```
INS*Y*18*024**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20060101~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*024**HLT*HEALTH 1*FAM~
DTP*348*D8*20060101~
DTP*349*D8*20061231~
INS*N*19*024**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20060101~
NM1*IL*1*TestName*Dependent1****34*999999999~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19820303*F~
HD*024**HLT*HEALTH 1~
DTP*348*D8*20060101~
DTP*349*D8*20061231~
INS*N*19*024**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20060101~
NM1*IL*1*TestName*Dependent2****34*888888888~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19920620*M~
HD*024**HLT*HEALTH 1~
DTP*348*D8*20060101~
DTP*349*D8*20061231
INS*N*01*024**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20060101~
NM1*IL*1*TestName*Husband****34*666666666~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19550527*M*M~
HD*024**HLT*HEALTH 1~
DTP*348*D8*20060101~
DTP*349*D8*20061231~
```

Example 6. For family contract, add medical coverage effective 01/01/2005 and dental coverage effective 02/01/2005.

```
INS*Y*18*001**A***PT~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
DTP*336*D8*19930103~
NM1*IL*1*TestName*Subscriber*M***34*987654321~
PER*IP**HP*8129345656~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19600115*F*M~
HD*001**HLT*HEALTH 1*FAM~
DTP*348*D8*20050101~
HD*001**DEN*DENTAL 1~
DTP*348*D8*20050201~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
NM1*IL*1*TestName*Dependent1****34*999999999~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19820303*F~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20050101~
HD*001**DEN*DENTAL 1~
DTP*348*D8*20050201~
INS*N*19*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
NM1*IL*1*TestName*Dependent2****34*888888888~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19920620*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20050101~
HD*001**DEN*DENTAL 1~
DTP*348*D8*20050201~
INS*N*01*001**A~
REF*0F*987654321~
REF*1L*00012345~
REF*DX*0000~
REF*17*001~
DTP*356*D8*20050101~
NM1*IL*1*TestName*Husband****34*666666666~
N3*100 Test Blvd~
N4*Batesville*IN*47006*US*CY*Franklin~
DMG*D8*19550527*M*M~
HD*001**HLT*HEALTH 1~
DTP*348*D8*20050101~
HD*001**DEN*DENTAL 1~
DTP*348*D8*20050201~
```

Section 3 - Enveloping

Before sending production files, ensure that change situations are represented in your test files.
1. Phase 1 - testing the initial add process; the file should contain adds only.
2. Phase 2 - testing changes to the members sent from the original test file.

Listed below are the change situations that we would like to see on the file.
IMPORTANT! Identify the members you are using for each situation.

#	Change Situations
	Identify members to be used in testing each situation
	NOTE - We DO NOT term by omission
1	Term a subscriber
2	Term a dependent only and not change the family indicator
	example: family contract effective 3/1/10, term one dependent child effective 4/1/10 but keeping spouse and other dependent child with active coverage
3	Term a dependent and change the family indicator
	example: Family contract effective 03/01/10 and they have one dependent child. The dependent child needs to be termed 04/01/10 which would change the contract type from family to subscriber and spouse
4	Change address
5	Change name
6	Change date of birth
7	Add a dependent and change the family indicator
	example: change from subscriber only to subscriber/dependent. Subscriber only contract effective 03/01/10 and they need to add a dependent effective 03/15/10
8	Add a spouse and change the family indicator
	example: subscriber/dependent contract effective 04/01/10 and subscriber gets married and needs to add spouse 04/25/10
9	Add a newborn child
	example: subscriber/spouse contract effective 04/01/10 and they have a baby on 04/28/10 and they need to add the baby to their contract. It would change the family indicator from subscriber/spouse to family
10	Add a dependent where the family indicator does not change
	example: adding a newborn to a family contract
11	Change group ID, if applicable
12	Change subgroup ID, if applicable
13	Change Plan ID, if applicable
	example: changing from Health 1 to Health 2
14	Send an overage dependent flagged as a student
15	Send a handicap dependent flagged as handicapped

Section 3 - Enveloping

EDI envelopes control and track communications between you and Anthem. One envelope may contain many transaction sets grouped into the following:

- Interchange Control Header (ISA)
- Functional Group Header (GS)
- Functional Group Trailer (GE)
- Interchange Control Trailer (IEA)

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ISA—Interchange Control Header		GS—Functional Group Header		GE—Functional Group Trailer		IEA—Interchange Control Trailer	
ISA01	00	GS01	BE	GE01	*refer to TR3*	IEA01	*refer to TR3*
ISA02	*refer to TR3*	GS02	*SENDER ID*	GE02	*refer to TR3*	IEA02	*refer to TR3*
ISA03	00		EDI assigned				
ISA04	*refer to TR3*		Left-justified followed by no zeroes or spaces				
ISA05	ZZ						
ISA06	*SENDER ID*						
	EDI assigned			*ISA08=GS03*			
	Left-justified followed by spaces			**Confirm with Business Analyst on which value to submit in GS03 when ISA08=ANTHEM*			
ISA07	ZZ	GS03	FACETS				
ISA08	ANTHEM		FACETSPR				
	BCCAWGS		BCCAWGS				
	BCCAWGSDS		BCCAWGSDS				
	BCCANAT		BCCANAT				
	BCCANATDS		BCCANATDS		NOTE. Critical Batching and Editing Information			
	BCCASTAR		BCCASTAR		*Transactions must be batched in separate functional group by GS03.*			
ISA09	*refer to TR3*	GS04	*refer to TR3*				
ISA10	*refer to TR3*	GS05	*refer to TR3*				
ISA11	^ *(5E)*	GS06	*refer to TR3*		**Unique group control number (GS06) MUST NOT be duplicated within 365 days by Trading Partner ID (GS02); files containing duplicate or previously received group control numbers will be rejected.*			
ISA12	00501	GS07	X				
ISA13	*refer to TR3*	GS08	005010X220A1				
ISA14	*refer to TR3*						
ISA15	*refer to TR3*						
ISA16	*refer to TR3*						

Section 4 - Charts for Situational Rules

Listed below are loops, segments, and data elements required for proper passing by Anthem per the situational rules in the 834 TR3.

TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Anthem (IN/KY/MO/OH/WI)
P.31	**ST**	*Transaction Set Header - refer to TR3*		
P.32	**BGN** Beginning Segment	**BGN08** Action Code		If BGN08=2, INS03 and HD01 = 001, 002, 021, 024, or 025. If BGN08=RX, INS03 and HD01 = 030 (for active members). *Standard: Terminations for full files, BGN08=RX.* If BGN08=RX, INS03=030 and HD01=024 (for terminations).
P.36	**REF**	*Transaction Set Policy Number - refer to TR3*		
P.37	**DTP**	*File Effective Date - refer to TR3*		
P.38	**QTY**	*Transaction Set Control Totals - refer to TR3*		
Loop ID 1000A—Sponsor Name				
P.39	**N1** Sponsor Name	**N101** Entity Identifier Code	*P5*	P5 - Plan Sponsor
		N102 Name	*(Plan Sponsor Name)*	Populate group name always; must be consistent on every file sent.
Loop ID 1000B—Payer				
P.41	**N1**	*Payer - refer to TR3*		
Loop ID 1000C—TPA/Broker Name				
P.43	**N1**	*TPA/Broker Name - refer to TR3*		
Loop ID 1100C—TPA/Broker Account Information				
P.45	**ACT**	*TPA/Broker Account Information - refer to TR3*		
Loop ID 2000—Member Level Detail				
P.47	**INS** Member Level Detail	**INS09** Student Status Code	*F* *P*	F - Full-time, P - Part-time For active students, identify full-time/part-time.
		INS10 Yes/No Condition or Response Code	*Y*	Y - Yes Populate Y if subscriber/dependent is handicapped.
P.55	**REF**	*Subscriber Identifier - refer to TR3* Unless requested, DO NOT send SSN		
P.53	**REF** Member Policy Number	*Group number must be populated in either Loop 2000 or 2300 but not both.*		
		REF01 Ref ID Qualifier	*1L*	1L - Group or Policy Number
		REF02 Reference Identification	*(Insured Group or Policy Number)*	▪ Enter 8 digit group # assigned by Anthem. ▪ Contact Anthem Business Analyst for related questions.
P.57	**REF** Member Supplemental Identifier	**REF01** Ref ID Qualifier	*DX*	DX - Department/ Agency No. (to report Subgroup or Dept)
		REF02 Reference Identification	*(Member Supplemental Identifier)*	▪ Enter 4 digit subgroup # assigned by Anthem. ▪ Contact Anthem Business Analyst for related questions.

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TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Anthem (IN/KY/MO/OH/WI)
Loop ID 2000—Member Level Detail (cont'd)				
P.59	**DTP** Member Level Dates	**DTP01** Date/Time Qualifier	*336*	336 - Employment Begin
		DTP03 Date Time Period	*(Status Information Effective Date)*	For subscriber (INS01=Y), submit the Hire Date whenever possible.
Loop ID 2100A—Member Name				
P.62	**NM1** Member Name	**NM109** Identification Code	*(Member Identifier)*	SSN required for each subscriber, spouse and adult dependent. Anthem prefers to have SSN for all dependents.
P.65	**PER** Member Communications Numbers	**PER04** Communication Number	*(Communication Number)*	Strongly encouraged for employer group to submit member email address
P.68	**N3**	Member Residence Street Address		
P.69	**N4**	Member City, State, ZIP Code - refer to TR3		
P.71	**DMG**	Member Demographics - refer to TR3		
P.76	**EC**	Employment Class - refer to TR3		
P.79	**ICM**	Member Income - refer to TR3		
P.81	**AMT**	Member Policy Amounts - refer to TR3		
P.82	**HLH**	Member Health Information - refer to TR3		
P.84	**LUI**	Member Language - refer to TR3		
Loop ID 2100B—Incorrect Member Name				
P.86	**NM1** **NM108**	Incorrect Member Name - Refer to TR3 Unless requested, DO NOT send SSN (34 – Social Security Number)		
P.89	**DMG**	Incorrect Member Demographics - Refer to TR3		
Loop ID 2100C—Member Mailing Address				
P.92	**NM1**	Member Mailing Address - Refer to TR3		
P.94	**N3**	Member Mail Street Address - Refer to TR3		
P.95	**N4**	Member Mail City, State, ZIP Code - Refer to TR3		
Loop ID 2100D—Member Employer				
P.97	**NM1** **NM108**	Member Employer - Refer to TR3 Unless requested, DO NOT send SSN (34 – Social Security Number)		
P.100	**PER**	Member Employer Communications Numbers - Refer to TR3		
P.103	**N3**	Member Employer Street Address - Refer to TR3		
P.104	**N4**	Member Employer City, State, ZIP Code - Refer to TR3		
Loop ID 2100E—Member School - Refer to TR3				
P.106	**NM1**	Member School - Refer to TR3		
P.108	**PER**	Member School Communications Numbers - Refer to TR3		
P.111	**N3**	Member School Street Address - Refer to TR3		
P.112	**N4**	Member School City, Stat, ZIP Code - Refer to TR3		
Loop ID 2100F—Custodial Parent				
P.114	**NM1** **NM108**	Custodial Parent - Refer to TR3 Unless requested, DO NOT send SSN (34 – Social Security Number)		
P.117	**PER**	Custodial Parent Communications Numbers - Refer to TR3		
P.120	**N3**	Custodial Parent Street Address - Refer to TR3		
P.121	**N4**	Custodial Parent City, State, ZIP Code - Refer to TR3		

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TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Anthem (IN/KY/MO/OH/WI)
Loop ID 2100G—Responsible Person				
P.123	**NM1**	*Responsible Person - Refer to TR3*		
	NM108	Unless requested, DO NOT send SSN (34 – Social Security Number)		
P.126	**PER**	*Responsible Person Communications Numbers - Refer to TR3*		
P.129	**N3**	*Responsible Person Street Address - Refer to TR3*		
P.130	**N4**	*Responsible Person City, State, ZIP Code - Refer to TR3*		
Loop ID 2100H—Drop Off Location				
P.132	**NM1**	*Drop Off Location - Refer to TR3*		
P.134	**N3**	*Drop Off Location Street Address - Refer to TR3*		
P.135	**N4**	*Drop Off Location City, State, ZIP Code - Refer to TR3*		
Loop ID 2200—Disability Information				
P.137	**DSB**	*Disability Information - Refer to TR3*		
P.139	**DTP**	*Disability Eligibility Dates - Refer to TR3*		
Loop ID 2300—Health Coverage				
P.140	**HD**	*Health Coverage - refer to TR3*		
P.143	**DTP**	*Health Coverage Dates - refer to TR3*		
P.145	**AMT**	*Health Coverage Policy - refer to TR3*		
P.146	**REF**	***Group number must be populated in either Loop 2000 or 2300 but not both.***		
	Health Coverage Policy Number	**REF01** Ref ID Qualifier	*1L*	1L - Group or Policy Number
		REF02 Reference Identification	*(Insured Group or Policy Number)*	▪ Enter 8 digit group # assigned by Anthem. ▪ Contact Anthem Business Analyst for related questions.
P.148	**REF**	*Prior Coverage Months - refer to TR3*		
P.150	**IDC**	*Identification Card - refer to TR3*		
Loop ID 2310—Provider Information				
P.152	**LX**	*Provider Information - refer to TR3*		
P.153	**NM1**	*Provider Name - refer to TR3*		
	NM108	Unless requested, DO NOT send SSN (34 – Social Security Number)		
P.156	**N3**	*Provider Address - refer to TR3*		
P.157	**N4**	*Provider City, State, ZIP Code - refer to TR3*		
P.159	**PER**	*Provider Communications Numbers - refer to TR3*		
P.162	**PLA**	*Provider Change Reason - refer to TR3*		
Loop ID 2320—Coordination of Benefits				
P.164	**COB** Coordination of Benefits	**COB01** Payer Resp Seq Number Code	*P* *S* *U*	P - Primary; S - Secondary; U - Unknown represents the insurance order: P, S and U.
		COB02 Reference Identification	*(Member Group or Policy Number)*	The first 10 characters will be used for processing.
P.166	**REF**	*Additional Coordination of Benefits - refer to TR3*		
	REF01	Unless requested, DO NOT send SSN (SY – Social Security Number)		
P.168	**DTP**	*Coordination of Benefits Eligibility Dates - refer to TR3*		
Loop ID 2330—Coordination of Benefits Related Entity				
P.169	**NM1**	*Coordination of Benefits Related Entity - refer to TR3*		
P.171	**N3**	*Coordination of Benefits Related Entity Address - refer to TR3*		
P.172	**N4**	*Coordination of Benefits Other Insurance Company City, State, ZIP Code - refer to TR3*		
P.174	**PER**	*Administrative Communications Contact - refer to TR3*		
P.176	**LS**	*Additional Reporting Categories - refer to TR3*		

TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Anthem (IN/KY/MO/OH/WI)
**834 Benefit Enrollment and Maintenance**				

TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to Anthem (IN/KY/MO/OH/WI)
**Loop ID 2710—Member Reporting Categories**				
P.177	**LX**	*Member Reporting Categories - Refer to TR3*		
**Loop ID 2750—Reporting Category**				
P.178	**N1**	*Reporting Category - Refer to TR3*		
P.179	**REF**	*Reporting Category Reference - Refer to TR3*		
P.181	**DTP**	*Reporting Category Date - Refer to TR3*		
P.183	**LE**	*Additional Reporting Categories Loop Termination - Refer to TR3*		
P.184	**SE**	*Transaction Set Trailer - refer to TR3*		

Section 5 – Reports and Acknowledgments

This section describes the types of file responses Anthem may return for trading partners to properly track and manage their file submissions to the Enterprise EDI Gateway.

Outbound File Naming Conventions

Listed below is a table of responses that may or may not be generated and delivered to trading partners.

	Outbound File Naming Convention		
	Report Designation / Description	**Format**	**Example**
N/A	Email Notification from Enterprise EDI Gateway (EEG)	*Generated if inbound file fails processing. Refer to Reject Report.*	
		Email from Unix @anthem.com	
N/A	Email Notification from Enterprise EDI Clearinghouse (EECH)	*Generated if inbound file fails processing. Refer to TA1, 999, 864 Reports*	
		Email from eech_alerts@anthem.com	
N/A	Reject Report	*File Reject Report occurs if inbound file fails processing.*	
		RJ {File Type Identifier} MMDDhhmmssnnn {RunID}	RJ0103063503001
TA1	TA1 X12	*Interchange rejection of inbound file.*	
		IA{Transaction code} MMDDhhmmssnnn {RunID}.###	IAHC0121163833001001001.834
999	Interchange Acknowledgment	*Acknowledges acceptance or rejection of inbound file.*	
		FA {File Type Identifier} MMDDhhmmssnnn {RunID}.###	FA0218180603001001022445.834
864	*Reports and acknowledgments sent within the 864 have the same naming convention.* *Note: BMG02 and MIT02 provide the name of the specific report or acknowledgment.*		
	Text Message Transaction (TA1) TA1 Report	*Interchange rejection of inbound file.*	
		TA1 MMDDhhmmssnnn {RunID}.###	TA10218180600013111111110.864
	Text Message Transaction (L2) Level 2 Status Report	*Itemized listing of submitted data from accepted file with Pass/Fail status and rejection detail.*	
		TX {File Type Identifier} MMDDhhmmssnnn {RunID}.###	TX0218180603001987654321.834
Alert	Alert Message: EDI Broadcast	NoticeMMDDYY Multiple Notices per day NoticeMMDDYY.2 digit sequence	Notice120114 Notice120114.01

As part of the 834 set up process, email addresses are captured to alert trading partners on the status of their submitted files, and if further action is required. When status is "Reject," trading partners must retrieve the detailed report(s) corresponding to the email notification.

Examples

• EEG email notification. If you are unable to decipher the reason for rejection using this notification, access a "RJ" reject report from your gateway mailbox.

...
Subject: Gateway Inbound – xx12345e
*****NOTE: THIS IS AN AUTO-GENERATED EMAIL. PLEASE DO NOT RESPOND TO THIS EMAIL.
Account: xx12345e - EMPLOYER GROUP
Client File: elig_jaaogu_2017021.txt.pgp
Gateway File: BD0201181933002
Date: Wed Feb 1 18:19:34 EST 2017
File Type: Inbound
Alert Type: Mailbox Receipt
Status: Reject
Message: Invalid File Format - file not forwarded for processing

• EECH email notification. If you are unable to decipher the reason for rejection using this notification, access a 999, TA1 X12, TA1 864, and/or Level 2 864 reject report from your gateway mailbox.

From: eech_alerts@anthem.com	Sent: Wed 01/18/2017 5:00 PM
To:	
Cc:	
Subject: EECH Error Notification from vaathmr225	

Hello from EECH

        Error Notification   Sent on:- Wednesday Jan 18 17:00:24 2017
        ==============

Error file details are as follows:-

```
TPNAME                    : EMPLOYER GROUP
ISA Sender                : XX12345E
ISA Receiver              : BCCAWGS
GS Sender                 : XX12345E
GS Receiver               : BCCAWGS
ISA Date & Time           : 170018 & 0309
GS Cntrl#                 : 866654103
Version                   : 005010X220A1
Group Name                : EMPLOYER GROUP
Filename                  : 0070852201701180001_i_invalid.t_834_x220
Date                      : Jan 18 16:46
Transaction Type          : 834
Enrollment Count          : 41
File Type                 : X12
Direction                 : INB
Stage                     : INSTREAM
DirPath                   : /ech/process/834/inbound/instream/rejects/archive/
Status                    : FAIL
           ****End of Message****
```

TA1 X12 (Automated Alert for Failed Inbound Transactions)

A TA1 in response to an EDI file submission failing for standard enveloping errors; one TA1 is returned for each interchange (ISA/IEA) accepted. It is also important to note that the TA1 is not intended to be manually interpreted.

```
ISA*00*          *00*          *ZZ*RECEIVER        *ZZ*XX12345E
*110302*0933*^*00501*000000001*0*T*:~
TA1*559026000*110301*1706*R*006~
IEA*0*000000001~
```

864 Text Message Transaction (TA1 Report)

The TA1 Report is formatted as a text document to communicate the failure of an inbound transaction.

The TA1 report is generated and sent to the submitter's mailbox when one of the following occurs:
1) Duplicate GS Control Number (unique file identifier)
2) Error based on the construction of the file (segment control error)
3) Gateway envelope error (ex. information out-of-sequence according to HIPAA guidelines)

```
ISA*00*        *00*          *ZZ*RECEIVER       *ZZ*SENDER        *101211*1322*^*00501*009290505*0*T*:~
GS*TX*RECEIVER*SENDER*20101211*13223885*9290505*X*005010~
ST*864*0001*005010~
BMG*00*TA1 REPORT*03~
MIT*9290505*TA1 REPORT~
MSG*                               ENTERPRISE CLEARINGHOUSE                              *SS~
MSG*                                                                                     *SS~
MSG*                          TRADING PARTNER TA1 REPORT identifies the specific message/report ~
MSG*                                                                                     *SS~
MSG*                                                                                     *SS~
MSG* TRADING PARTNER ID #: SENDER      submitter ID                                      *SS~
MSG*                                                                                     *SS~
MSG* REPORT RUNTIME: 12/11/10 13:22                                                      *SS~
MSG*                                                                                     *SS~
MSG* FILE REJECT TIME: 12/11/10 13:22                                                    *SS~
MSG*                                                                                     *SS~
MSG* ----------------------------------- START OF REPORT -----------------------------------*SS~
MSG*_____*SS~
MSG*                                                                                     *SS~
MSG*                                     unique file identifier                          *SS~
MSG*                                                                                     *SS~
MSG* SOURCE FILE NAME   TRANS  RECEIPT DATE   ISA CNTRL #   GS REC ID   GS CNTRL #   REJECT REASON      *SS~
MSG* ----------------   -----  ------------   -----------   ---------   ----------   --------------     *SS~
MSG* BE############   834   02/01/2009    009290505    RECEIVER    9290505     File cannot be processed *SS~
MSG*                                                                                     *SS~
MSG*                                                                                     *SS~
MSG*                                                                                     *SS~
MSG*_____*SS~
MSG*                                                                                     *SS~
MSG*                                                                                     *SS~
MSG*                            PLEASE CONTACT YOUR LOCAL EDI HELPDESK                    *SS~
MSG*                                    XXX-XXX-XXXX                                      *SS~
MSG*                          WITH ANY QUESTIONS REGARDING THIS REPORT                    *SS~
MSG*                                                                                     *SS~
MSG*_____*SS~
MSG*                                                                                     *SS~
MSG*----------------------------------- END OF REPORT -----------------------------------*SS~
SE*37*0001~
GE*1*9290505~
IEA*1*009290505~
```

999 Interchange Acknowledgment (for Inbound Transactions)

A 999 Interchange Acknowledgment in response to an EDI file submission; one 999 is returned for each interchange (ISA/IEA) accepted. The following examples of a 999 were aligned with each segment beginning in the left margin so that you could conveniently read the transaction segments from top to bottom. Normally, EDI transmissions are a continuous stream of characters. However, we have unwrapped them (by segment) in each example of clarity.

It is also important to note that the 999 is not intended to be manually interpreted. These transactions are processed by the trading partners' transaction software. The examples clearly show that the information (results of Level 1 editing) is not returned in an easy-to-read, formatted report.

Accepted

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*XX12345E
*101211*1323*^*00501*000000054*0*T*|~
GS*FA*RECEIVER*XX12345E*20101211*132228*540001*X*005010X231A1~
ST*999*0001*005010X231A1~
AK1*BE*6010233*005010X220A1~   Control Number links 999 back to inbound 834
AK2*834*000000001~
IK5*A~     Transaction Set Response, Accepted (A)
AK9*A*1*1*1~
SE*6*0001~
GE*1*540001~
IEA*1*000000054~
```

Rejected

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*XX12345E
*101211*1323*^*00501*000000084*0*T*|~
GS*FA*RECEIVER*XX12345E*20101211*132234*840001*X*005010X231A1~
ST*999*0001*005010X231A1~
AK1*BE*9290505*005010X220A1~   Control Number links 999 back to inbound 834
AK2*834*000000001~
IK5*R*4~     Transaction Set Response, Rejected (R
AK9*R*1*1*0~
SE*6*0001~
GE*1*840001~
IEA*1*000000084~
```

864 Text Message Transaction (Level 2 Status Report)

The Level 2 Status Report is formatted to communicate results from data content editing. An example of the Level 2 Status Report is aligned with each segment beginning in the left margin so that you can conveniently read the text message from top to bottom. Normally, the EDI transmissions are a continuous stream of characters but we have unwrapped them (by segment) for clarity.

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*XX12345E      *101211*1322*^*00501*000000002*0*T*:~
GS*TX*RECEIVER*XX12345E*20101211*132228*1*X*005010~
ST*864*000000001*005010~   Text Message Transaction Identifier
BMG*00*ENROLLMENT AND MAINTENANCE REPORT~
MIT*312558*ENROLLMENT AND MAINTENANCE LEVEL 2 ERROR REPORT~
MSG*                                    ENTERPRISE CLEARINGHOUSE                        *SS~
MSG*                              LEVEL 2 STATUS REPORT   identifies the specific message/report *SS~
MSG*                                                                                    *SS~
MSG*  SENDER ID #:     XX12345E        TRANSACTION:   005010X220A1                      *SS~
MSG*  SENDER NAME:     834IB_42726     TEST/PROD:     T                                 *SS~
MSG*  FILE NAME:       BE1210163441    RECEIPT DATE:   090201       ISA CONTROL #: 006010233  *SS~
MSG*  GS RECEIVER ID:  RECEIVER        REPORT RUNTIME: 14:30        GS CONTROL #:  6010233    *SS~
MSG*------------------------------------------------------------------------------------ *SS~
MSG*                                                                                    *SS~
MSG*TRANSACTION SET CONTROL NUMBER: 000000001                                           *SS~
MSG*                                                                                    *SS~
MSG*------------------------------------------------------------------------------------ *SS~
MSG*ACTION/    MEMBER                 SUBSCRIBER      MEMBER     POLICY      POLICY       *SS~
MSG*INSLINE    NAME                   ID              TYPE       ID          EFFECTIVE DATES *SS~
MSG*------------------------------------------------------------------------------------ *SS~
MSG*                                                                                    *SS~
MSG* 030     SHAW-DAVIDSON, HARLEY   001234567        N          111154321   20090224    *SS~
MSG*                                                                                    *SS~
MSG* Error#: 42726    Loop: 2100A    Segment: PER    Line #: 44    Invalid Data: jack_son@hotmail.com *SS~
MSG* Error: Mbr: The Communication # (PER06) must match the correct email pattern when the Communication # *SS~
MSG* Qualifier (PER05) is equal to EM, electronic mail                                   *SS~
MSG*                                                                                    *SS~
MSG* 030     SHAW-DAVIDSON, HARLEY   001234567        N          111154321   20090224    *SS~
MSG*                                                                                    *SS~
MSG* Error#: 42731    Loop: 2100A    Segment: N4     Line #: 44    Invalid Data:         *SS~
MSG* Error: Mbr: The State or Province Code (N402) is required when address is within the US or Canada. *SS~
MSG*                                                                                    *SS~
MSG*          MEMBERS                MEMBERS                      MEMBERS               *SS~
MSG*          SUBMITTED              W/OUT ERRORS                 WITH ERRORS           *SS~
MSG*           Count                  Count                        Count                *SS~
MSG*          ---------              ------------                 -----------           *SS~
MSG*            45                     44                           1                   *SS~
MSG*                                                                                    *SS~
MSG* TOTALS FOR GS CONTROL NUMBER: 312558     Group Control Numbers link report         *SS~
MSG*                                          information back to inbound 834            *SS~
MSG*          MEMBERS                MEMBERS                      MEMBERS               *SS~
MSG*          SUBMITTED              W/OUT ERRORS                 WITH ERRORS           *SS~
MSG*           Count                  Count                        Count                *SS~
MSG*          ---------              ------------                 -----------           *SS~
MSG*            45                     44                           1                   *SS~
MSG*                                                                                    *SS~
MSG*                                                                                    *SS~
MSG*                        PLEASE CONTACT YOUR LOCAL EDI HELPDESK AT                    *SS~
MSG*                              XXX-XXX-XXXX                                           *SS~
MSG*                        WITH ANY QUESTIONS REGARDING THIS REPORT                     *SS~
MSG*                                                                                    *SS~
MSG*                                                                                    *SS~
MSG*                                                                                    *SS~
MSG* ---Message (MSG) segments contain actual----- END OF REPORT ------------------------------- *SS~
MSG*    formatted report                                                                *SS~
SE*55*000000001~
GE*1*1~
IEA*1*000000002~
```

Release Notes		
Version	**Page(s)**	**Description**
5		Initial Inclusion of Employer-group User Guide (2 pages) Reference to sFTP: 'sFTP w/PGP' updated to 'sFTP, SFTP w/PGP' Updated formatting Updated hyperlinks
6		Basic Instructions – added 6.4 Social Security Number: unless requested, do not send